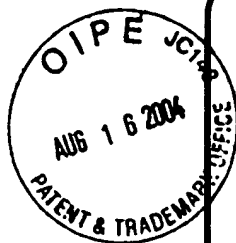


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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/751,617
Filing Date	JANUARY 5, 2004
First Named Inventor	KISSAK T. SARAJIAN
Art Unit	3751
Examiner Name	KRISHNAMURTHY, RAMESH
Total Number of Pages in This Submission	27
Attorney Docket Number	EPS-107US

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT INFORMATION DISCLOSURE STATEMENT PTO FORM 1449 (4 PAGES) LISTED REFERENCES
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	ALSO ENCLOSED: RETURN POSTCARD	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	JOHN E. MUNGER, REG. NO. 37,685 JANSSON, SHUPE & MUNGER, LTD. 245 MAIN STREET, RACINE, WI 53403
Signature	
Date	AUGUST 12, 2004

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
EPS-107US

In Re Application Of: AUG 16 2004

SARAJIAN ET AL

Application No.

Filing Date

Examiner

Customer No.

Group Art Unit

Confirmation No.

10/751,617

JANUARY 5, 2004

KRISHNAMURTHY

24314

3751

2423

Title: **MODULAR UMBRELLA VALVE**

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
EPS-107US

In Re Application: **AUG 16 2004**
SARAJIAN ET AL.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/751,617	JANUARY 5, 2004	KRISHNAMURTHY	24314	3751	2423

MODULAR UMBRELLA VALVE

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account **10-0270** as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax No. _____) on _____

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

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I certify that this document and fee is being deposited on August 12, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Teresa Johnson

Signature of Person Mailing Correspondence

TERASA JOHNSON

Typed or Printed Name of Person Mailing Certificate

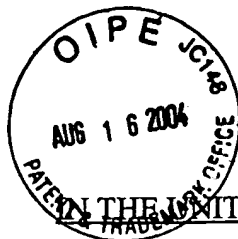
*This certificate may only be used if paying by deposit account.

John E. Munger
Signature

JOHN E. MUNGER, REG. NO. 37,685
JANSSON, SHUPE & MUNGER, LTD.
245 MAIN STREET
RACINE, WI 53403
262-632-6900

Dated: August 12, 2004

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Sarajian et al.

Attorney Docket No. EPS-107US

Serial No. 10/751,617

Group Art Unit: 3751

Filed: January 5, 2004

Examiner: Krishnamurthy, Ramesh

Title: MODULAR UMBRELLA VALVE

* * * * *

Jansson, Shupe & Munger, Ltd.
245 Main Street
Racine, WI 53403-1034
August 12, 2004

Mail Stop DD
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Attached is a completed supplemental information disclosure statement form and a copy of each document listed thereon. The statement is believed to be timely filed pursuant to 37 C.F.R. § 1.97(b)(3) because, as far as the Applicants are aware, the first office action has not yet been mailed.

Consideration of each such document by the Examiner is respectfully requested.

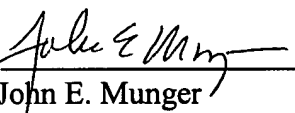
Pursuant to section 609 of the Manual of Patent Examining Procedure, the Examiner is requested

to return an initialed copy of such PTO-1449 form with the next communication, to indicate that such documents have been considered.

This submission is not a representation that any such document is prior art to this application; the right to establish otherwise, pursuant to 37 CFR 1.131 or otherwise, is reserved.

The listed documents are not represented to be material to the examination of this application; such documents are cited, however, to make it clear beyond any doubt that there has been compliance with the Duty of Disclosure. We do not concede each item cited is relevant but the items are cited to show the general background of the art.

Respectfully submitted,

By 
John E. Munger
Registration No. 37,685

Attorneys for Applicants:

Jansson, Shupe & Munger, Ltd.
245 Main Street, Suite M
Racine, WI 53403-1034
Telephone: 262/632-6900

CERTIFICATE OF MAILING

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COMMISSIONER FOR PATENTS, ALEXANDRIA, VA 22313-1450 on

August 12, 2004

Teresa Johnson

Name

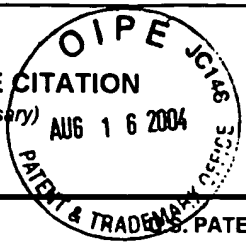
Teresa Johnson

Signature

8/12/04

Date

INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)				ATTY DOCKET NO. EPS-107US		APPLICATION NO. 10/751,617		
				APPLICANT(S) SARAJIAN ET AL.				
				FILING DATE JANUARY 5, 2004		GROUP ART UNIT 3751		
U.S. PATENT DOCUMENTS								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		3,976,096	08/24/1976	KASS, DECEASED ET AL.				
U.S. PATENT APPLICATION PUBLICATIONS								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)								
		Vernay Laboratories, Inc., Umbrella Check Valve VA3497 (2 pages), 1998, <www.vernay.com>						
		Vernay Laboratories, Inc., Vernay Umbrella Check Valve VA3497 Technical Information Sheet (2 pages), May 12, 2003, <www.vernay.com>						
EXAMINER					DATE CONSIDERED			
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								

 <p>INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)</p>				ATTY DOCKET NO. EPS-107US		APPLICATION NO. 10/751,617		
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U.S. PATENT APPLICATION PUBLICATIONS								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)								
			Vernay Laboratories, Inc., Umbrella Check Valves (5 pages), 1998, <www.vernay.com>					
			Vernay Laboratories, Inc., Umbrella Check Valves (4 pages), May 9, 2003, <www.vernay.com>					
EXAMINER					DATE CONSIDERED			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>	ATTY DOCKET NO. EPS-107US	APPLICATION NO. 10/751,617
	APPLICANT(S) SARAJIAN ET AL.	
	FILING DATE JANUARY 5, 2004	GROUP ART UNIT 3751

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U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

			Engineered Products and Services, Inc., Easy Caps (EZY) (3 pages), 2004, <www.epsi.com>
			Engineered Products and Services, Inc., Easy Cap photograph (1 page), undated, <www.epsi.com>

EXAMINER	DATE CONSIDERED
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	APPLICANT(S) SARAJIAN ET AL.	
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U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

			Shercon plug photograph (1 page), undated.

EXAMINER	DATE CONSIDERED
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